

#5 S.W.H. 10/09/02

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3960.D19

In Re Application Of: **John S. HENDRICKS**

Serial No.  
09/964,857

Filing Date  
September 28, 2001

Examiner  
n/a

Group Art Unit  
n/a

Title: **ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM DOWNLOADING TEXT FROM A PORTABLE STORAGE MEDIUM (as amended)**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Examiner  
n/a

Group Art Unit  
n/a

**ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM DOWNLOADING TEXT FROM A  
FEATURES**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Certificate


\*This certificate may only be used if paying by deposit account.

Signature

Dated: August 20, 2002

Sung Tae Kim, Reg. No. 45,398  
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McLean, VA 22102  
Tel. (703) 288-5240  
Fax (703) 288-5260

CC:

 <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	ATTY DOCKET NO. <b>3960.D19</b>	SERIAL NO. <b>09/964,857</b>
	<b>John S. HENDRICKS</b>	
	FILING <b>September 28, 2001</b>	GROUP <b>n/a</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	1	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,855,725	8/8/89	Fernandez	345	173	
	2.	5,761,485	6/2/98	Munyan	345	839	
	3.	5,986,690	11/16/99	Hendricks	725	60	

**FOREIGN PATENT DOCUMENTS**

1	2	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	WO 97/23819	7/3/1997	WIPO				
	2.	WO 97/22110	6/19/1997	WIPO				
	3.	WO 97/22067	6/19/1997	WIPO				
	4.	WO 97/22065	6/19/1997	WIPO				
	5.	WO 97/22102	6/19/1997	WIPO				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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SERIAL NO. 09/964,857

**John S. HENDRICKS**

**FILING**  
**September 28, 2001**

GROUP	n/a
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	6.	WO 97/22111	6/19/1997	WIPO				
	7.	WO 97/22103	6/19/1997	WIPO				
	8.	WO 97/22063	6/19/1997	WIPO				
	9.	WO 97/22105	6/19/1997	WIPO				
	10.	WO 97/22104	6/19/1997	WIPO				


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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11.	WO 97/22109	6/19/1997	WIPO				
	12.	WO 97/22112	6/19/1997	WIPO				
	13.	WO 97/22079	6/19/1997	WIPO				
	14.	WO 97/22049	6/19/1997	WIPO				
	15.	WO 97/22100	6/19/1997	WIPO				


**DATE CONSIDERED**

**PAGE 3 OF 6**

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

3960.D19

SERIAL NO.

09/964,857

John S. HENDRICKS

FILING

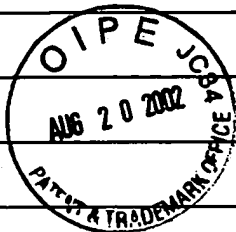
September 28, 2001

GROUP

n/a

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
16.		WO 97/22099	6/19/1997	WIPO				
17.		WO 97/22097	6/19/1997	WIPO				
18.		WO 97/22064	6/19/1997	WIPO				
19.		WO 97/22108	6/19/1997	WIPO				
20.		WO 97/22101	6/19/1997	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	21.	WO 97/22080	6/19/1997	WIPO				
	22.	WO 97/22106	6/19/1997	WIPO				
	23.	WO 97/22062	6/19/1997	WIPO				
	24.	WO 97/22107	6/19/1997	WIPO				
	25.	WO 95/15649	6/8/1995	WIPO				


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**PAGE 5 OF 6**

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**PAGE 6 OF 6**